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GOVERNOR

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G-2025-Reporting Requirements-002

TO: REGIONAL CENTER EXECUTIVE DIRECTORS

SUBJECT: INTERVENTION REPORTING REQUIREMENTS

The use of restraint, seclusion, and or involuntary emergency medication used to control behavior is accompanied by a number of reporting requirements. This guidance summarizes the key requirements and how they integrate within the overall reporting framework.

Monthly Use Reported to Department of Developmental Services

All regional center vendors that provide crisis or residential services or supported living services must report monthly to the Department of Developmental Services (Department) their use of restraint, seclusion, and/or involuntary emergency medication used to control behavior in accordance with [Welfare and Institutions Code Section 4659.2\(c\)](#). Specifically, vendors must report:

- The number of incidents of seclusion and the duration of time spent per incident in seclusion;
- The number of incidents of the use of behavioral restraints and the duration of time spent per incident of restraint;
- The number of times an involuntary emergency medication is used to control behavior;
- The name, street address, and telephone number of the facility.

Vendors with this reporting requirement are:

- Crisis or Residential Services Providers
- Supported Living Services (SLS) Providers
- Community Crisis Homes
- Mental Health Rehabilitation Centers
- Long-Term Health Care Facilities, and
- Acute Psychiatric Hospitals.

These reports must be submitted monthly by the vendor using the [online form](#). On a monthly basis, the Department shares this information with the vendoring regional center. Vendors who have not had any incidents of restraint, seclusion or involuntary emergency medication use during the month do not need to report to the Department for that month.

Behavior Modification Plans

All care providers are prohibited from using any form of behavior modification intervention that may cause pain or trauma to the individual served, including restraint. The only exception to this prohibition is *if* the behavior modification is fully described in a treatment plan approved by a regional center's Behavior Management Review Committee or endorsed by a qualified professional. See [Title 17, Section 50802](#) for more detail.

By February 15 of each year, the regional center director must submit to the Department an annual report of their Behavior Modification Review Committee. The report must include:

- The number and type of behavior modification treatment plans involving pain or trauma that were approved by the Behavioral Modification Review Committee, including those with planned restraint use;
- The source and type of license held by each facility in which approved treatment plans were implemented, and;
- Notify the department if there were no plans approved.

See [Title 17, Section 50823](#) for more detail.

Special Incident Reporting

Some restraint use is reportable as a special incident report (SIR). Specifically, SIR reporting is required for the use of physical, mechanical, or chemical restraint when:

- The restraint technique is inconsistent with the program's approved program plan/design, restraint training curriculum, or restraint policy;
- Restraint is used in response to behavior of the individual and the individual's behavior does not pose an imminent risk of harm;
- When restraint is part of an individual's plan and the used restraint is not an approved intervention in the individual's plan;
- When the chemical or mechanical restraint used is inconsistent with the physician's order.

See [Title 17, Section 54327\(d\)\(2\)\(H\)](#) for more detail. These incidents of restraint use must be reported as an SIR of reasonably suspected abuse.

Prohibition on the use of Seclusion

Seclusion, or involuntarily confining of an individual alone in a room or an area from which the individual is physically prevented from leaving, is prohibited for any individual with an intellectual or developmental disability. See [Title 17, Section 50515](#) for more detail.

Therefore, the use of seclusion is a form of abuse and must be reported as an SIR of reasonably suspected abuse.

Vendors and regional centers with questions about this directive and reporting should contact the Department at RestraintReportingForm@dds.ca.gov.

Sincerely,

Original Signed by:

AARON CHRISTIAN

Chief, Population Risk, Quality Assurance, and Data Operations

cc: Regional Center Administrators
Regional Center Directors of Consumer Services
Regional Center Community Services Directors
Association of Regional Center Agencies